

## Finite Element Analysis of Tubesheet of WHRB Using ASME Acceptance Criteria And UHX 13.4 Method

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**Abstract:** Tube sheet is one of the important components of waste heat recovery boiler (WHRB), which separates the tube side fluid and shell side fluid. Proper design of tubesheet is important for safe and reliable operation of the boiler. Due to high pressure difference between shell side and tube side fluids, maximum failure occurs at tube to tubesheet junction during the boiler operation. Finite element analysis (FEA) is an important tool as it is not possible to study the combined effect of all the stresses analytically. This paper deals with finite element analysis of the boiler and validation of the stresses with ASME standards for safe operation and using UHX 13.4 for loading criteria. For this the 3D FEA geometry was modelled in Solidworks and imported in ANSYS to perform the analysis and then the stresses were validated as per ASME Section VIII Div. 2. The tubesheet and tube to tubesheet weld junction was found safe for operation under all the UHX 13.4 loading cases.

**Keywords:** Tube Sheet, FEM, ASME, UHX 13.4, WHRB

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### 1. Introduction

Tube sheet is the main part of a boiler acting as a physical barrier between the tube side fluid and the shell side fluid. It is an integral part of the waste heat recovery boiler which uses the waste heat from a system, to convert water into pressurized steam. This work mainly focuses on the tubesheet analysis for all the loading cases, as from the studies it is seen that tubesheet is part where maximum stress are generated in the waste heat boiler unit and failure in the majority of previous boilers has occurred in tubesheet. Also the qualification of the tube to tubesheet junction weld strength is mandatory to ensure that no failure occurs at this weld junction during the boiler operation. This qualification in this work is done as per UHX-13.4 of ASME Sec. VIII Div. 1. The finite element analysis scope is to analyse and validate that all the stresses generated are under the allowable limits, as per ASME standards.

The work done in this paper is a part of Thermax Ltd, Pune Company project. The design and analysis is done as per the client's specifications and requirements.

### 2. Literature Review

The failure of tubesheet to tube joint is very common in industries. Therefore, the joint strength affects the safety and the reliability of process plants directly. The results obtained from finite element analyses and experiments presented by different references had mainly focused on the stresses in the various zones of tubesheet and the tube to tubesheet joint.

The fatigue strength of tube-to-tubesheet welded joints under cyclic loading was studied by Wenxian Su NingMaa, Zhifu Sang and G.E.O. Widera [1] they concluded that the key of the process of fabricating heat exchanger is the joint of tube-to-tubesheet of heat exchanger and in their experiments all the specimens of welded tube-to-tubesheet produce fatigue rupture in the zone of weld.

Weiya Jin, Zengliang Gao et al. [2] did a comparison of two finite element models for calculating stresses in shell-and-tube heat exchanger. In model I Traditional theory of elastic foundation model is used for tubesheet to tube load share. Pipe elements are used to represent actual load and stress sharing between tubesheet and tube in model II. As tubesheet and tubes are very heavy components for FEA modelling, so for ease of solving and simplification within more reasonable time for analysis, they replaced tubes by elements of different kind like beams, bars etc. as because of the tube hole, the perforated plate is weakened they simplified as a solid plate which with the same diameter and thickness of the perforated plate with an effective Poisson's ratio and an effective Young's modulus. By their results they concluded that model I is more suitable and justifies the actual working condition.

Hongjie Yu et al. [3] investigated the mechanical study on a fixed tubesheet using FEA, which are welded with tube bundles and equipment shell using finite element method. They studied the effects of number

of tubes on the strength of tubesheet. Variation of the distributions and magnitudes of the stress and deflection of the tubesheet with the size of the unpierced part of the tubesheet were investigated. They created the finite element model using the Ansys and plotted the results for stress intensity distribution on the tubesheet & deflection of the tubesheet. They also performed the analysis of the tubesheet centrally supported with tubes. Their results showed that with support of tubes the tubesheet did not behaved as a flat solid plate in terms of the deflection and stress distribution features. Mainly, when the tubesheet is partly supported with the tubes in the centre, the maximum stress intensity occurs at the point which depends on the size of the imperforated region and the maximum deflection was near the unpierced region.

Zhao Jianping and Li Guo [4] studied a fixed tubesheet heat exchanger with a central pipe using finite element analysis, whose diameter was greater than other heat exchanger tubes. A central hole in tubesheet will weaken the stiffness and intensity of the tubesheet and cause local stress concentration along the central hole's edge. They found that the most dangerous place on the tubesheet was at the edge of tube distribution region.

From this study it is clearly seen that the most critical failure occurs in the tubesheet region of any heat exchanger. Therefore, the stress validation in this region and tube to tubesheet weld qualification becomes mandatory to ensure that the boiler will not fail during the operation.

### 3. Analysis Data

The analysis data is acquired from the various sources for the materials used in the boiler construction and there properties at the operation temperature from the ASME and ASME guidelines are followed for this finite element analysis.

#### 3.1 Material of Construction

Material properties at design temperature for the respective materials are used in the finite element model are taken from Table TM-1 & 1A of ASME which are in Table 1 given below:

**Table 1:** Material Properties [5]

<i>Part</i>	<i>Material</i>	<i>Yield Strength (MPa)</i>	<i>Tensile Strength (MPa)</i>	<i>Design Temp. (°C)</i>	<i>Modulus of Elasticity (MPa) at Design temperature</i>	<i>Allowable Stress (MPa) At Design Temp.</i>
Tubesheet/Shell	SA 516M Gr.485	260	485	260	1.88E+5	137.59
Tubes	SA 179M	180	325	343	1.79E+05	88.56

#### 3.2 Corrosion Allowance

The finite element analysis is performed in the corroded condition of the boiler which will be the minimum thickness after the corrosion. Corrosion allowance of 1.6 mm is applicable on the shell side surfaces and corrosion allowance of 3.2 mm is applicable on the tube side surfaces [6].

#### 3.3 Design Considerations as per UHX-13.4

It is generally not possible to determine, by observation, the most severe loading conditions which may cause a breakdown of boiler. So, it is necessary to perform the finite element analysis considering all the design and operating loading cases for various load conditions. As per UHX-13.4 of ASME guidelines following cases are considered for the analysis at the Maximum Allowable Working Pressure (MAWP) of the boiler, as given in table 2 [7].

**Table 2:** Load Cases for FEA

<i>MAWP</i>	<i>LC 1</i>	<i>LC 2</i>	<i>LC 3</i>	<i>LC 4</i>
TUBE SIDE	0.49327 MPa	-0.101325 MPa	0.49327 MPa	-0.101325 MPa
SHELL SIDE	-0.101325 MPa	1.15522 MPa	1.15522 MPa	-0.101325 MPa

As a conservative approach, maximum design pressure is replaced with maximum allowable working pressure in FEA [8].

### 3.4 Compensating pressure for the channel openings

As we have not modelled complete WHRB unit, the internal pressure causes thrust at opening where the model is not constrained in the axial direction. So, to keep the model in static equilibrium compensation pressure is applied at the opening which is given by the equation (I) which is derived using basic engineering –

$$P_c = \frac{-P_i}{\left(\frac{D_o}{D_i}\right)^2 - 1} \text{ Eq. (I)}$$

Where,  $P_c$  = Compensation pressure

$P_i$  = Internal pressure (Tube side MAWP)

$D_o$  = Outer diameter of channel opening

$D_i$  = Inner Diameter of the channel Opening

Table 3 shows the calculated values of compensation pressure using the above equation.

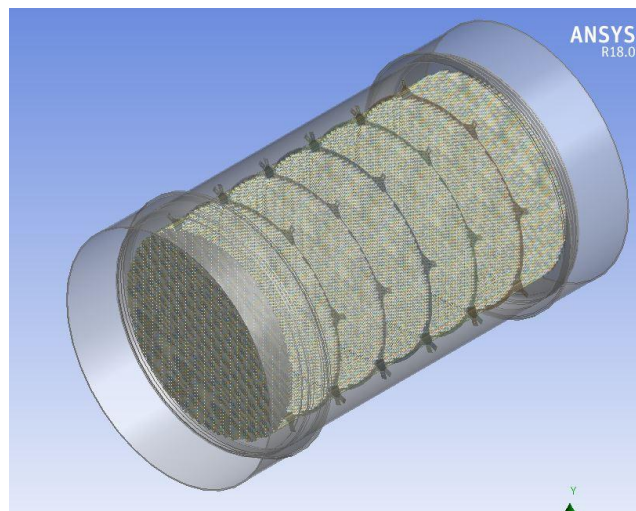
**Table 3:** Compensating pressure for the channel opening

<i>Internal Pressure</i>	<i>Outer Dia. of Channel Opening</i>	<i>Inner Dia. of Channel Opening</i>	<i>P<sub>c</sub></i>
0.49327	3590.00	3556.40	-25.9824
-0.101325	3590.00	3556.40	5.3372

## 4. Finite Element Analysis

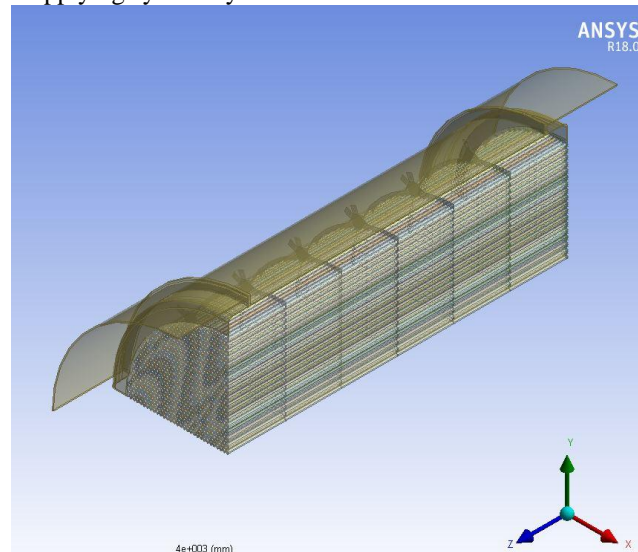
### 4.1 SolidModelling

The CAD model of the WHRB was prepared in Solidworks 2016 in the corroded condition as shown in figure, 1 and was imported in Ansys 18.0 for the FEA. All the dimensions were as per the final released drawings [6] of the WHRB by Thermax. As the detailed drawings are the confidential part of a company, they cannot be included in this paper, the overall bounding box dimension for the solid modelled unit is 6850mm × 3632mm × 3632mm.



**Figure 1:** CAD Model of WHRB unit

For reducing the number of elements and ease of analysis symmetry is applied in Ansys, figure 2 shows the CAD model after applying symmetry on XZ axis and YZ axis.



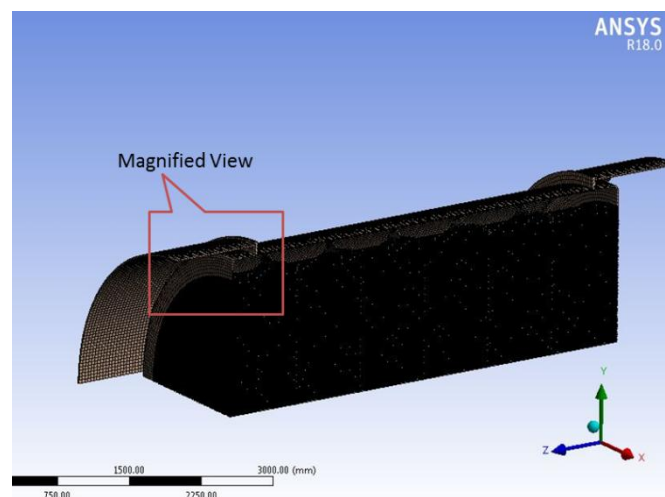
**Figure 2:** Symmetric model

#### 4.2 Contact Definition

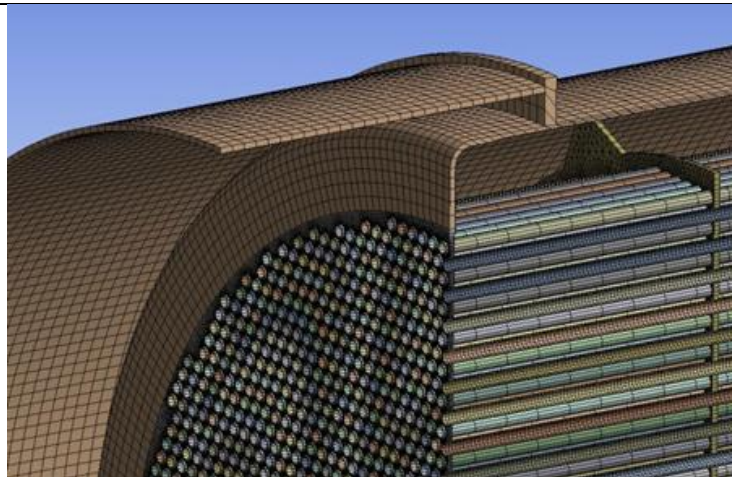
Defining the contacts is one of the major parameter of the structural analysis. The behaviour of the various parts in the assembly is solely dependent on the contact definition. The contacts in WHRB assembly are defined understanding the actual nature of working of the components.

#### 4.3 Meshing

Creating the most appropriate mesh is the foundation of the finite element analysis. So, meshing was done in Ansys itself defining all the mesh parameters to obtain the best mesh quality for this FEA as shown in figure 3, figure 4 shows the magnified view of the meshing which shows the quality of mesh created for this finite element analysis.



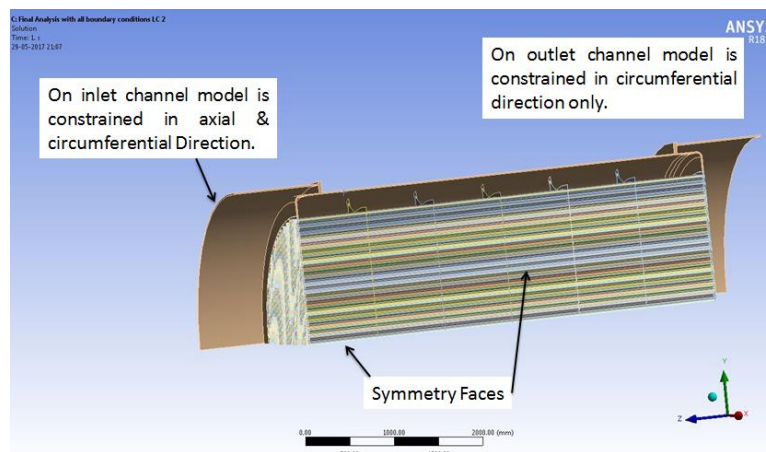
**Figure 3.** Mesh



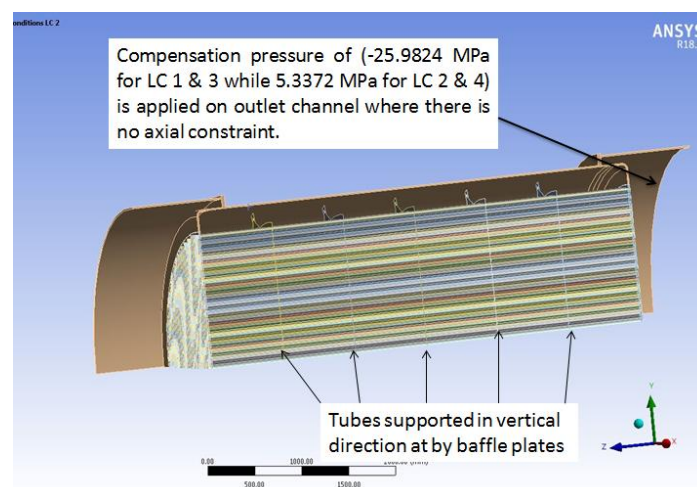
**Figure 4: Mesh (Magnified View)**

#### 4.4 Boundary Conditions

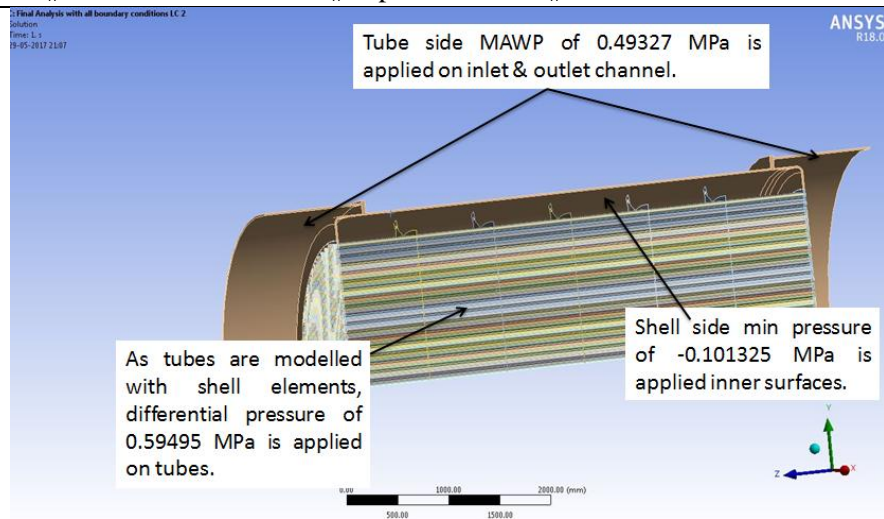
The model is constraint with the following parameters to match the boundary conditions of real time situation. And then the various pressure conditions are applied for each load case and the solution is obtained. The figures 5(a) and 5(b) show the model constraints which are common for all the load conditions to be solved.



**Figure 5(a): Model Constraint**



**Figure 5(b): Model Constraint**



**Figure 6: Load Condition 1**

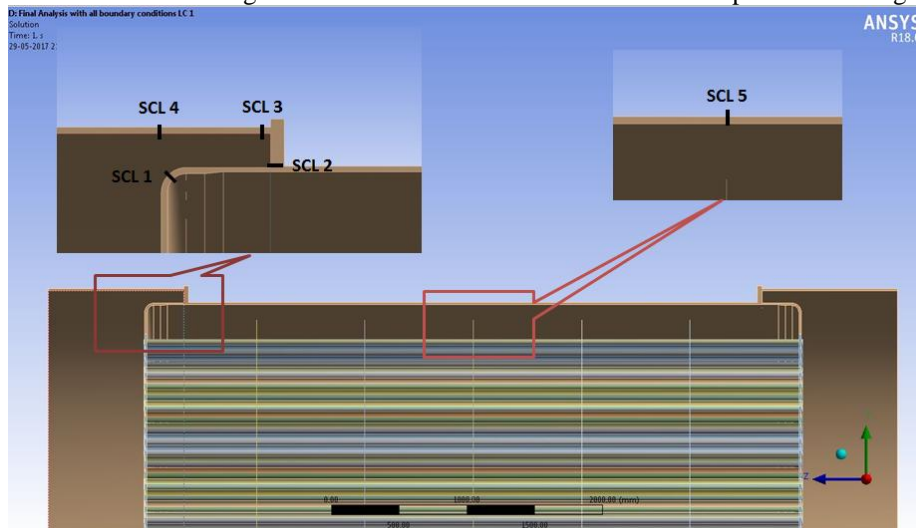
Figure 6 shows the pressure values for the load condition 1. Similarly pressure conditions for the case 2, 3 and 4 are applied as per the pressure values specified in table 3 and the solution output is plotted from Ansys post processor.

#### 4.5 Solution output & stress validation

##### 4.5.1 Linearization of stress results for stress classification

In the finite element methods, when continuum elements are used in an analysis, the total stress distribution is obtained. Therefore, to produce membrane and bending stresses, the total stress distribution is linearized on a stress component basis and used to calculate the equivalent stress. For the Shell elements the membrane and bending stresses are obtained directly from shell stress results [9].

So, to obtain the various stress values the stress linearization is done using the stress classification lines (SCL) on the solid elements as shown in figure 7. The locations of the SCL are taken as per the ASME guidelines.



**Figure 7: SCL Locations**

SCL Locations are as follows:

SCL 1: At discontinuity, across knuckle thickness.

SCL 2: At discontinuity, at adaptor ring to shell junction, across adaptor ring thickness.

SCL 3: At discontinuity, across channel to adaptor ring junction, across channel thickness.

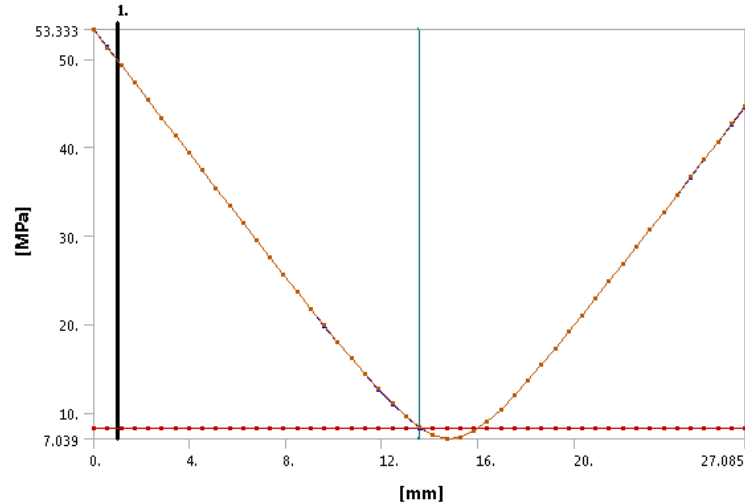
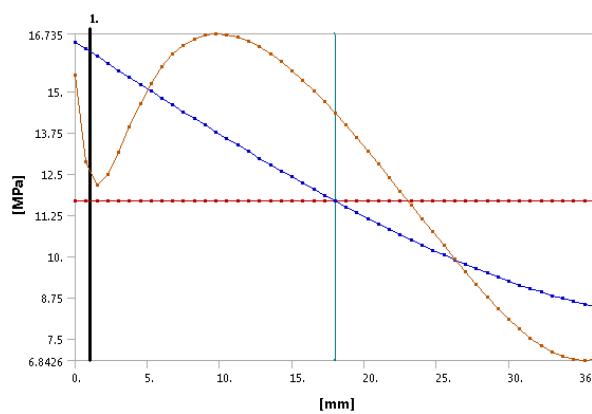
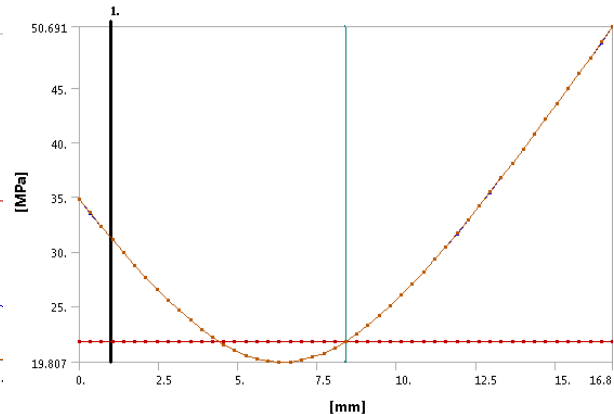
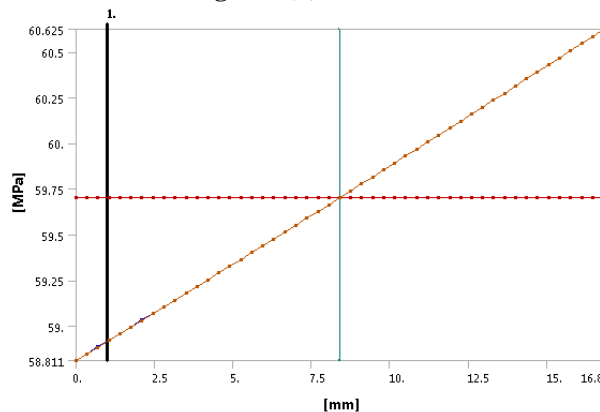
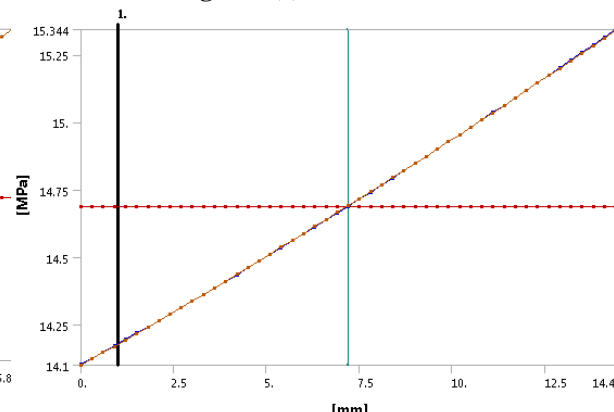
SCL 4: At away from discontinuity, across channel thickness.

SCL 5: At away from discontinuity, shell thickness.



**4.5.2 SCL stress plot from Ansys post processor:**

All the SCL plot results are taken as it is from the ansys post processor after the FEA solution. In all the SCL plots (figure 8a, 8b, 8c, 8d & 8e), X-axis shows the across thickness at the stress linearization path (in mm) and Y-axis shows the induced stress values (in MPa), red dots are membrane stress values and orange dots are combined stress values as per plot definition.

**Figure 8(a): SCL 1 Plot****Figure 8(b): SCL 2 Plot****Figure 8(c): SCL 3 Plot****Figure 8(d): SCL 4 Plot****Figure 8(e): SCL 5 Plot**

The above SCL plots show the stress values for the load condition 1. Similarly, SCL plots are obtained from Ansys solution output and the stress values are tabulated, which are in table 5a, 5b and 5c.

**4.5.3 Allowable stress limits as per ASME**

ASME has set standard equations which give us the various stress limits below which the component can be considered safe. The allowable limits are calculated as per the allowable stress values of materials used for the WHRB components, table 4 shows the calculated allowable stress values. Where, Pm-Primary membrane stress, S- Allowable stress, PL- Local membrane stress, Pb- Bending Stress, Q- Secondary stress

**Table 4:** Allowable Stress Limits (MPa)

<i>Part</i>	<i>Material</i>	<i>Stress Limits as per ASME Sec.VIII Div.2 Part 5</i>			
		<i>S (Pm)</i> (Clause 5.2.24 Eq. 5.2)	<i>S PL(PL)</i> (Clause 5.2.24 Eq. 5.3)	<i>SPL(PL+Pb)</i> (Clause 5.2.24 Eq. 5.4)	<i>SPS(PL+Pb+Q)</i> (Clause 5.6.6.1 Eq. 5.78)/Fig 5.1
Tubesheet/Shell	SA 516M Gr.485	137.59	206.38	206.38	412.77
Tubes	SA 179M	88.56	132.84	132.84	265.68

**4.5.4 Induced stress values from analysis solution**

The stress values for all the load conditions from Ansys post processor are tabulated as per category in Table 5 (a, b & c) and at the same time the induced stress is compared with the allowable stress limits as per table 4.

**Table 5 (a):** Load Condition 1 Stress Values (MPa)

<i>SCL No.</i>	<i>Location</i>	<i>Category</i>	<i>Induced Stress</i>	<i>Allowable stress limit</i>
SCL 1	At Discontinuity	PL	8.3106	SPL=206.38
		PL+Pb+Q	53.333	SPS=412.77
SCL 2	At Discontinuity	PL	11.666	SPL=206.38
		PL+Pb+Q	16.49	SPS=412.77
SCL 3	At Discontinuity	PL	21.754	SPL=206.38
		PL+Pb+Q	50.676	SPS=412.77
SCL 4	Away from discontinuity	Pm	59.702	S=137.59
		PL+Pb	60.625	SPL=206.38
SCL 5	Away from discontinuity	Pm	14.689	S=137.59
		PL+Pb	15.344	SPL=206.38

**Table 5 (b):** Load Condition 2 Stress Values (MPa)

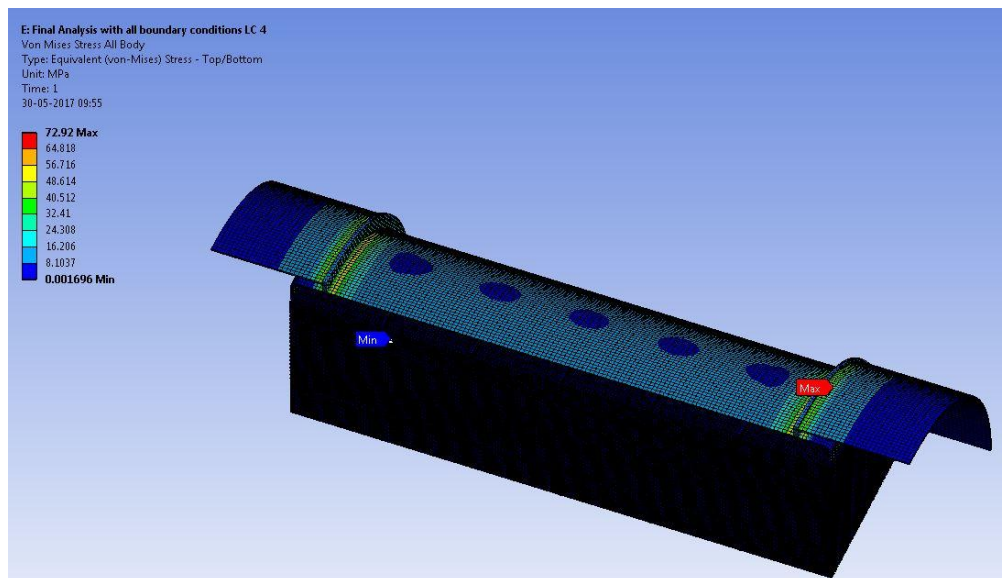
<i>SCL No.</i>	<i>Location</i>	<i>Category</i>	<i>Induced Stress</i>	<i>Allowable stress limit</i>
SCL 1	At Discontinuity	PL	21.417	SPL=206.38
		PL+Pb+Q	173.15	SPS=412.77
SCL 2	At Discontinuity	PL	49.73	SPL=206.38
		PL+Pb+Q	57.958	SPS=412.77
SCL 3	At Discontinuity	PL	46.576	SPL=206.38
		PL+Pb+Q	81.241	SPS=412.77
SCL 4	Away from discontinuity	Pm	9.9493	S=137.59
		PL+Pb	16.393	SPL=206.38
SCL 5	Away from discontinuity	Pm	129.24	S=137.59
		PL+Pb	151.56	SPL=206.38



**Table 5 (c): Load Condition 3 Stress Values (MPa)**

SCL No.	Location	Category	Induced Stress	Allowable stress limit
SCL 1	At Discontinuity	PL	15.67	SPL=206.38
		PL+Pb+Q	149.63	SPS=412.77
SCL 2	At Discontinuity	PL	62.842	SPL=206.38
		PL+Pb+Q	83.186	SPS=412.77
SCL 3	At Discontinuity	PL	110.6	SPL=206.38
		PL+Pb+Q	297.94	SPS=412.77
SCL 4	Away from discontinuity	Pm	78.956	S=137.59
		PL+Pb	91.176	SPL=206.38
SCL 5	Away from discontinuity	Pm	126.68	S=137.59
		PL+Pb	170.86	SPL=206.38

For Load condition 4, the total induced equivalent stresses 72.92 MPa (figure 9) is much less than the minimum allowable stress value 88.38, hence stress linearization is not done for this case [9].



**Figure 9:** Solution of load condition 4 (eq. stress)

#### 4.5.5 Result Verification for the finite element analysis solution.

Results are verified for an induced stress value to shell side MAWP as follows, Stress in shell at away from discontinuity at the inner surface is given by:

$$\sigma = \frac{P \times a^2}{(b^2 - a^2)} \times \left( 1 + \frac{b^2}{a^2} \right) \quad \text{eq (II)}$$

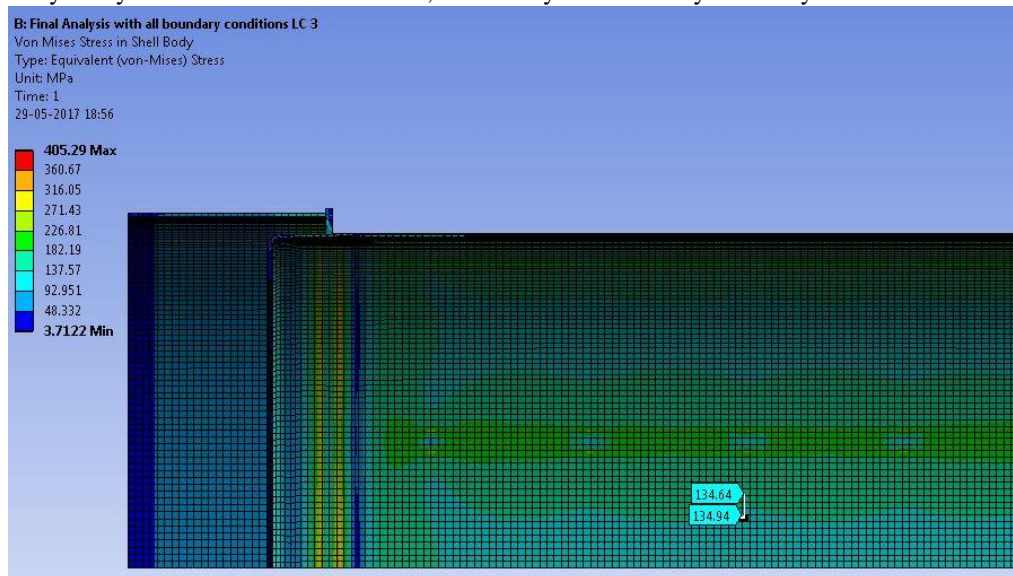
$$\sigma = 135.082 \text{ MPa}$$

Where, a= ID of the shell (3353.2mm),  
 b= OD of the shell (3382mm),  
 P= 1.15522 MPa (Load condition 3)

Equation (II) is the basic engineering equation for the stress calculation in the thick walled cylinder. Here the cylinder is considered as a thick walled, as it is needed to study the value of stress across the wall thickness i.e. the above calculated stress is at the inner surface of the shell wall.

The stress is plotted in the postprocessor solution of the analysis is 134.94 (figure 10) and they are matching

with the analytically calculated value. Therefore, we can say that the analysis is very accurate.

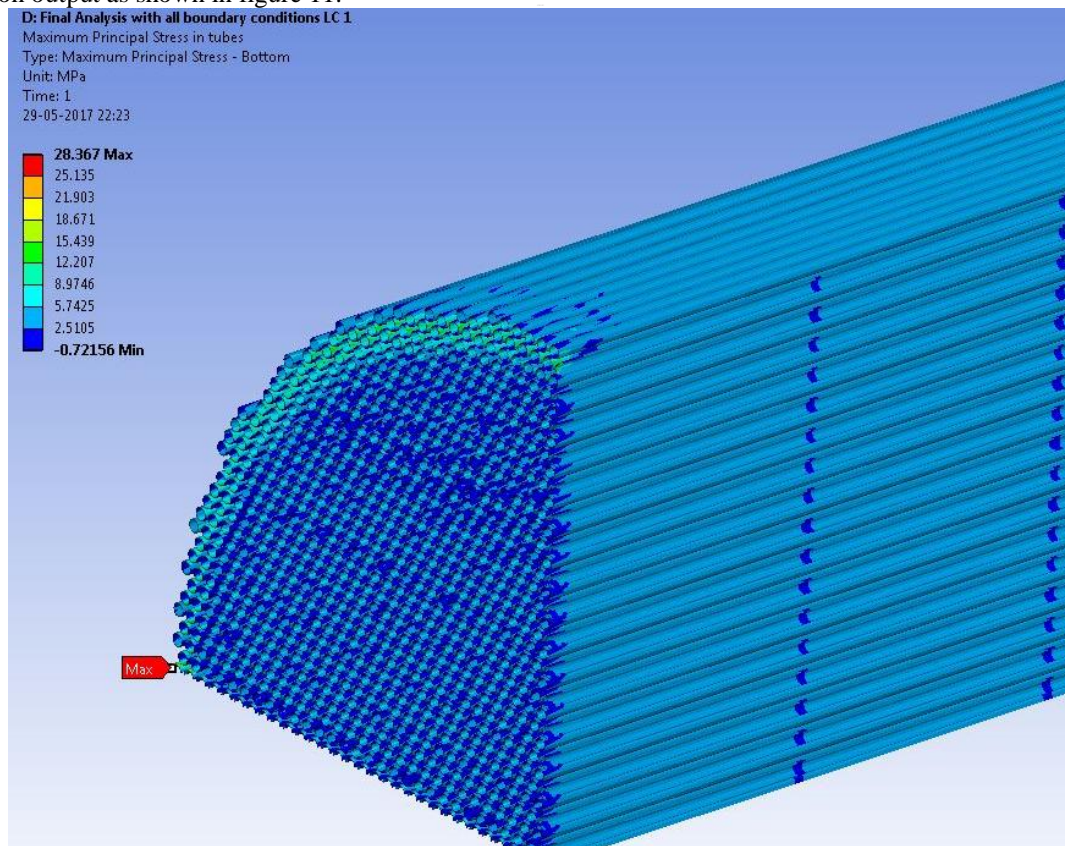


**Figure 10:** Stress value at shell inner surface element, away from discontinuity

#### 4.5.6 Qualification of tube to tubesheet weld junction

Tube to tubesheet welding failure is most common type of leakage failure that occurs in boilers. So, it is very important to check the strength of this welding junction for the leakage free operation of the boiler. This is done as per UHX-13.4 of ASME guidelines [7].

For the calculation of the axial loading at the weld junction principal stress is plotted from the Ansys solution output as shown in figure 11.



**Figure 11:** Maximum Principal Stress in tubes for LC 1

Similarly maximum principal stress for other loading conditions is plotted from the Ansys post processor and the stress values are used to calculate the pipe to tubesheet joint load, and these joint loads are compared with the maximum allowable axial load at the weld junction. Table 6 shows the formulae's, calculated values for various equations for the weld strength qualification, as per ASME guidelines [7].

**Table 6:** Qualification of tube to tubesheet weld junction

<i>Description</i>	<i>Reference</i>	<i>Formulas</i>	<i>LC1</i>	<i>LC 2</i>	<i>LC3</i>
Allowable stress for tube at design temperature (S)	Design data	-	88.38 MPa		
Nominal OD of tubes	Design data	-	38.1 mm		
Nominal tube wall thickness	Design data	-	3.048 mm		
Maximum Principal stress in tubes ( $\sigma_{\max}$ )	From FEA	-	28.367 MPa	56.082 MPa	27.749 MPa
Pipe to Tubesheet joint load	Eq.4.18.110 [8]	$W_t = \sigma_{\max} * \pi * (d_t - t_t) t_t$	9521.2N	18823.5N	9223.13 N
Axial Centre pipe strength	Eq.4.18.245 [8]	$F_t = \pi t (d_o - t) S$	29664.13 N		
Maximum allowable Axial Load in either direction pipe to tubesheet joint	Para.4.18.10.3(a & b)[8]	$L_{\max} = F_t$	29664.13 N		
Pass/ Fail	-	Pass if $W_t < L_{\max}$ [8]	Pass	Pass	Pass

Load case 4 is not evaluated as the maximum induced stress (ref. figure 9) is much less than min. allowable limit of 88.38 MPa [9].

## 5. Conclusion

Analysing the post processor output and comparing the results with the ASME allowable stress. We can say that all the induced stress values are under the allowable stress limits therefore, the waste heat recovery boiler unit is safe to operate under all operating and static loading conditions. Also the induced stress is verified with the analytical calculation with the accuracy of 99%. So, we can say that this finite element analysis fulfils the actual operating condition with a great level of accuracy. The ASME validation of the stresses is one of the most trusted procedures of FEA and gives us maximum safety and reliability. This study can be very useful for the new industries and scholars performing the finite element analysis of the pressure vessels.

## Acknowledgements

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#### Author Profile



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